

# Breaking discrete symmetries in the effective field theory of inflation

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15th July, 2015

Based on D. Cannone, [JG](#) and G. Tasinato, to appear in JCAP [arXiv:1505.05773 [hep-th]]

# Outline

- 1 Introduction
- 2 System under consideration
- 3 Quadratic operators and discrete symmetries
  - Mass terms
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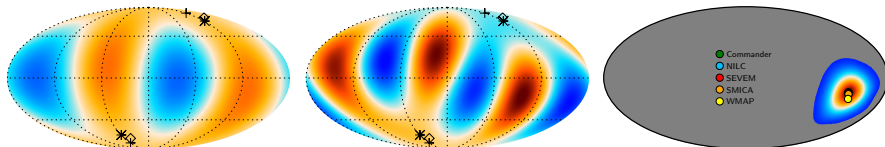
# Why effective field theory?

Inflation seems to dwell in *terra incognita*

- $E_{\text{inf}} \sim 10^{16} \text{ GeV} (?) \gg E_{\text{LHC}} = 14 - 15 \text{ TeV}$
- Are various corrections important or not?
- Control over our ignorance: universality of EFT

Basic symmetry principles in the EFT of inflation are (Cheung et al. 2008)

- 1 Spatial diffeomorphism is invariant (homog & iso)
- 2 Time translational symmetry is broken (t-dep BG)



- Tantalizing observational hints
  - ① Quadrupole and octopole orientations are misaligned ( $9 - 13^\circ$ )
  - ② Preferred dipole direction:  $A = 0.07$  and  $(l, b) = (227^\circ, -15^\circ)$
- Theoretical motivations
  - ① Direction-dependent ingredient, e.g. vector field
  - ② Models with special conditions: solid / elastic inflation...

# Why broken discrete symmetries?

(Slightly) broken spatial diff may be allowed (Cannone, Tasinato & Wands 2015) but studying a system that breaks all diff during inflation is difficult

- We study *discrete* symmetries
- Parity violation: a lot of studies
- Time reversal: nothing to the best of our knowledge
- We adopt model-independent EFT approach to study the consequences of leading operators

# Comoving (unitary) gauge

Single field case: for a single scalar field  $\phi(t, \mathbf{x}) = \phi_0(t) + \delta\phi(t, \mathbf{x})$

$$x^\mu \rightarrow x^\mu + \xi^\mu(t, \mathbf{x}) \quad \text{then} \quad \delta\phi \rightarrow \delta\phi - \dot{\phi}_0 \xi^0$$

By setting  $\delta\phi = 0$ , all dynamical d.o.f. are given to metric

“Comoving (unitary)” gauge

Inflation by a set of fields which we collect within a 4-vector  $\Psi^\mu$

$$\delta\Psi^\mu \rightarrow \delta\Psi^\mu - \dot{\Psi}^\mu{}_{,\nu} \xi^\nu$$

$\delta\Psi^\mu = 0$ : generalized unitary gauge, and only metric perts are left

# BG with anisotropies

BG metric = homogeneous FRW + anisotropic parts

$$\bar{g}_{\mu\nu} = \bar{g}_{\mu\nu}^{(0)} + \bar{g}_{\mu\nu}^{(a)} = a^2(\eta) \begin{pmatrix} -1 & \\ & \delta_{ij} \end{pmatrix} + a^2(\eta) \begin{pmatrix} \beta_i & \\ \chi_{ij} \end{pmatrix}$$

To support anisotropies, EMT contains  $\theta_i$  and  $\sigma_{ij}$ :

$$S_m = - \int d^4x \sqrt{-g} \left[ \Lambda(\eta) + c_1(\eta) g^{00} + c_2(\eta) \delta_{ij} g^{ij} + d_1(\eta) \theta_i g^{0i} + d_2(\eta) \sigma_{ij} g^{ij} \right]$$

Einstein eqs give relations bet coeffs and aniso parameters

$$3\mathcal{H}^2 = c_1 + 3c_2 + a^2 \Lambda$$

$$\mathcal{H}^2 - \mathcal{H}' = c_1 + c_2$$

$$d_1 \theta_i = c_2 \beta_i$$

$$2d_2 \sigma_{ij} = \mathcal{H} \chi'_{ij} + \frac{1}{2} \chi''_{ij} + 2c_1 \chi_{ij}$$

# Reduction to isotropic universe

To reduce our labour on huge possibilities of ops

- 1 Residual symmetry:  $x^i \rightarrow x^i + \xi^i(t)$

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + a^2(\eta) h_{\mu\nu} \quad \text{with} \quad h_{0i} = S_i + \partial_i B$$

$$\text{This gives} \quad S_i \rightarrow S_i - \partial_\eta \xi_i^T \quad \text{and} \quad B \rightarrow B - \partial_\eta \xi^L + \xi^0$$

$h_{0i}$  is eliminated unless there is spatial derivs acting on it

$$d_1 = 0 \quad \text{thus} \quad \beta^i = 0$$

- 2 Shear is set to vanish:  $\sigma_{ij} = 0$  so that we focus on  $\theta_i$   
The source for  $\chi_{ij}$  is vanishing, so simply we choose  $\chi_{ij} = 0$

$$\text{The BG metric is isotropic: } \bar{g}_{\mu\nu} = a^2(\eta) \begin{pmatrix} -1 & \\ & \delta_{ij} \end{pmatrix}$$



# Mass terms

## Basic strategies

- 1 Due to residual symmetry,  $h_{0i}$  does not show up
- 2 No floating spatial indices

For those without derivative, out of 3 [ $h_{00}$ ,  $h_{ii}$ ,  $h_{ij}$  ( $i \neq j$ )] we can construct 4 quadratic operators:

- 1  $m_1^2 h_{ij}^2 = m_1^2 \left[ 12\varphi^2 + 2(\partial_i F_j)^2 + \gamma_{ij}^2 + 8\varphi \nabla^2 E + 4(\nabla^2 E)^2 \right]$
- 2  $m_2^2 h_{ii}^2 = m_2^2 (6\varphi + 2\nabla^2 E)^2$
- 3  $m_3^2 h_{00}^2 = m_3^2 4A^2$
- 4  $m_4^2 h_{00} h_{ii} = m_4^2 (12A\varphi + 4A\nabla^2 E)$

# Single-derivative operators

$$\mathcal{O}_1^{(1)} = \mu a^3 \epsilon_{ijk} (\partial_i h_{jm}) h_{km} = \mu a^3 \epsilon_{ijk} [(\partial_i \gamma_{jm}) \gamma_{km} - \partial_i F_j \Delta F_k]$$

$$\begin{aligned} \mathcal{O}_2^{(1)} &= \mu a^3 \epsilon_{ijk} \theta_i h_{jm} h'_{km} \\ &= \mu a^3 \epsilon_{ijk} \theta_i (\gamma_{jm} \gamma'_{km} - F_m \partial_j \gamma'_{km} - F'_m \partial_k \gamma_{jm} \\ &\quad - F_j \Delta F'_k + 2F'_{k,j} \Delta E + 2F_{k,j} \Delta E') \end{aligned}$$

- ❶  $\mathcal{O}_1^{(1)}$  leads to parity violation: not inv under  $x^i \rightarrow -x^i$
- ❷  $\mathcal{O}_2^{(1)}$  breaks time-reversal

# Double-derivative operators

$$\begin{aligned}
 \mathcal{O}_1^{(2)} &= a^2 h'_{ij} \theta_j \partial_k h_{ik} \\
 &= -a^2 \theta_j \left( 4\varphi' \partial_j \varphi + 2\varphi' \Delta F_j + \gamma'_{ij} \Delta F_i - 2\varphi \Delta F'_j - 2F'_j \Delta^2 E \right. \\
 &\quad \left. + 4\varphi' \partial_j \Delta E + 4\partial_j \varphi \Delta E' + 4\Delta E' \partial_j \Delta E - F'_i \partial_j \Delta F_i \right) \\
 \mathcal{O}_2^{(2)} &= a^2 h'_{ij} \theta_k \partial_k h_{ij} \\
 &= -a^2 \theta_k \left( 12\varphi' \partial_k \varphi + \gamma'_{ij} \partial_k \gamma_{ij} \right. \\
 &\quad \left. + 4\varphi' \partial_k \Delta E + 4\partial_k \varphi \Delta E' + 4\Delta E' \partial_k \Delta E - F'_i \partial_k \Delta F_i \right)
 \end{aligned}$$

Not inv under an indep interchange of time and spatial coords

# No propagating vector modes

We are interested in pert linear in  $\theta_i$

$$\mathcal{L}^{(v)} = \underbrace{\frac{a^2}{2} [\partial_k (S_i - F'_i) \partial_k (S_i - F'_i)]}_{\text{EH part}} \underbrace{- 2m_1^2 a^4 (\partial_i F_j)^2}_{\text{mass term } m_1^2} \underbrace{- \theta_i F_j (\dots)}_{\text{everything else}}$$

$S_i$  is not dynamical and can be solved, then the eq for  $F_i$  reads

$$\Delta F_i = \frac{\theta_i}{m_1^2} (\dots)$$

Thus overall  $\mathcal{L}^{(v)} = \mathcal{O}(\theta_i^2)$ : no propagating vector modes

# New operator for the scalar sector

Considering  $\mathcal{O}_i^{(2)}$  the new contribution to the scalar Lagrangian is

$$\mathcal{L}^{(s)} \supset a^2 \varphi' \theta_i \partial_i \varphi$$

With the standard procedure  $u = z\varphi$  ( $z \propto a$  during dS)

$$S^{(s)} = \int d^4x \frac{1}{2} \left[ u'^2 - (\nabla u)^2 + \frac{z''}{z} u^2 + 2b_1 \theta_i u' \partial_i u \right]$$

( $b_1$ : real and constant for simplicity)

$$u_k'' + 2ib_1 \theta_i k_i u_k' + \left( k^2 - \frac{z''}{z} \right) u_k = 0$$

# Direction-dependent phase in the scalar sector

We can discover the standard mode function equation by writing

$$u_k = \exp(-ib_1\theta_i k_i \eta) u_k^{(0)}$$

which gives

$$u_k^{(0)''} + \left(k^2 - \frac{z''}{z}\right) u_k^{(0)} + \underbrace{k^2 (b_1\theta_i \hat{k}_i)^2}_{\mathcal{O}(\theta_i^2) \text{ so neglected}} u_k^{(0)} = 0$$

We find a direction-dependent phase which keeps  $\mathcal{P}_{\mathcal{R}}$  isotropic

c.f. In the configuration space,  $u(\eta, x^i) = u^{(0)}(\eta, x^i + b_1\theta^i\eta)$

# New operators in the tensor sector

We consider  $\mathcal{O}_i^{(1)}$  [c.f.  $\mathcal{O}_i^{(2)}$  the same as the scalar]

$$S^{(t)} = \int d^4x \frac{a^2}{8} \left[ \gamma'_{ij}{}^2 - (\nabla \gamma_{ij})^2 + 2q_1 \mu a \epsilon_{ijk} (\partial_i \gamma_{jm}) \gamma_{km} + 2q_2 \mu a \epsilon_{ijk} \theta_i \gamma_{jm} \gamma'_{km} \right]$$

For each circular pol component  $\lambda$  (+ = right, - = left)

$$\gamma''_{(\lambda)} + 2\mathcal{H}\gamma'_{(\lambda)} + k^2 \gamma_{(\lambda)} - 2\lambda q_1 \mu a k \gamma_{(\lambda)} - 2\lambda q_2 \mu a \hat{\theta} \gamma'_{(\lambda)} - 3\lambda q_2 \mu a \mathcal{H} \hat{\theta} \gamma_{(\lambda)} = 0$$

where  $\hat{\theta} = i\theta_i \hat{k}_i$

- $q_1$  term: associated with spatial deriv, well-known parity violating ops
- $q_2$  terms: associated with time deriv on  $a\gamma_{(\lambda)}$ , novel!

# Chiral phase in the tensor sector

Defining

$$v_{(\lambda)} \equiv \frac{a}{\sqrt{2}} \gamma_{(\lambda)} = \exp \left( i \lambda q_2 \mu \theta_i \hat{k}_i \int a d\eta \right) v_{(\lambda)}^{(0)}$$

we recover the standard tensor mode eq at linear order in  $\theta_i$ :

$$v_{(\lambda)}^{(0)''} + \left( k^2 - \frac{a''}{a} \right) v_{(\lambda)}^{(0)} = 0$$

Noticing that  $\int a d\eta \approx N_e / H$ , the number of  $e$ -folds

$$\gamma_{(\lambda)} = \exp \left( i \lambda q_2 \mu \theta_i \hat{k}_i \frac{N_e}{H} \right) \gamma_{(\lambda)}^{(0)}$$

Phase modulation but it dep on a) chirality and b) number of  $e$ -folds

c.f. In the configuration space  $\gamma_{(\lambda)}(\eta, x^i) = \gamma_{(\lambda)}^{(0)} \left( \eta, x^i - \lambda q_2 \mu \frac{N_e}{H} \theta^i \right)$



# Conclusions

- Effective field theory of inflation
  - Conventionally only time translational symmetry is broken
  - Tantalizing hints for broken spatial diffeomorphism inv
- Anisotropic metric and energy-momentum tensor
  - In general we have pure vector  $(0i)$  and pure tensor  $(ij)$
  - We concentrate on the effects of vector  $\theta_i$
- Novel quadratic operators
  - Imposing residual symmetry is powerful
  - Up to 2 derivatives
- Dynamics of fluctuations
  - Vector: no propagating physical d.o.f.
  - Scalar: direction-dependent phase
  - Tensor: phase that dep on chirality and number of  $e$ -folds